

02-14-02

A

UTILITY PATENT APPLICATION TRANSMITTAL

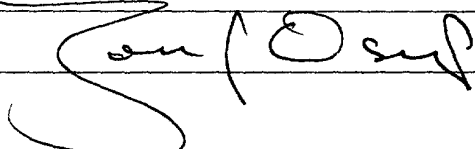
(Only for new non-provisional applications under 37 CFR 1.53(b))

jc971 U.S. PTO
10/075022
02/13/02

Attorney Docket No.	SJO920010193US1	(0105-0007)
First Inventor or Application Identifier:	Dunn	
Title:	Embedded Servo Patterning Methods And Apparatus	
Express Mail Label No.:	ET759792290US	

Application Elements (See MPEP chapter 600 concerning utility patent application contents)	ADDRESS TO: Assistant Commissioner For Patents BOX PATENT APPLICATION Washington, D.C. 20231
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1. <input checked="" type="checkbox"/> Fee Transmittal Form (Submit an original, and a duplicate for fee processing)	6. <input type="checkbox"/> Microfiche Computer Program (Appendix)
2. <input checked="" type="checkbox"/> Specification [Total Pages <u>40</u>] (preferred arrangement set forth below) <ul style="list-style-type: none">• Descriptive title of the invention• Cross References to Related Applications• Statement Regarding Fed sponsored R&D• Background of the Invention• Brief Summary of the Invention• Brief Description of the Drawings (if filed)• Detailed Description• Claim(s)• Abstract of the Disclosure	7. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) <ul style="list-style-type: none">a. <input type="checkbox"/> Computer Readable Copyb. <input type="checkbox"/> Paper Copy (identical to computer copy)c. <input type="checkbox"/> Statement Verifying identity
3. <input checked="" type="checkbox"/> Drawing(s) (35 USC 113) [Total sheets <u>10</u>]	ACCOMPANYING APPLICATION PARTS 8. <input checked="" type="checkbox"/> Assignment 9. <input type="checkbox"/> 37 CFR 3.73(b) Statement (when there is an assignee) 10. <input type="checkbox"/> English Translation Document (if applicable) 11. <input checked="" type="checkbox"/> Information Disclosure <input checked="" type="checkbox"/> Copies of IDS Statement (Form 1449) Citations 12. <input type="checkbox"/> Preliminary Amendment 13. <input checked="" type="checkbox"/> Return Receipt Postcard (CPEP 503) (Should be specifically itemized) 14. <input type="checkbox"/> Small Entity <input type="checkbox"/> Statement filed in prior application, Statement Status is still proper and desired 15. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed) 16. <input checked="" type="checkbox"/> OTHER: Express Mail Certification Check # <input type="checkbox"/> (\$ <input type="checkbox"/>)
4. <input checked="" type="checkbox"/> Oath or Declaration [Total Pages <u>2</u>] <ul style="list-style-type: none">a. <input checked="" type="checkbox"/> Newly executed (original or copy)b. <input type="checkbox"/> Copy from prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 17 completed) [Note Box 5 below]i. <input type="checkbox"/> Deletion of Inventor(s) Signed statement attached in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).	
5. <input type="checkbox"/> Incorporation by Reference (useable if Box 4b is checked) The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.	
17. If a CONTINUING APPLICATION , check appropriate box and supply the requisite information: <input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-In-Part of prior application no.: Prior application information: Examiner: _____ Group/Art Unit: _____	

18. CORRESPONDENCE ADDRESS			
<input type="checkbox"/> Customer Number () Or Bar Code Label			
OR			
<input checked="" type="checkbox"/> Correspondence Address Below			
NAME	ATTN: John J. Oskorep		
ADDRESS	One Magnificent Mile Center 980 N. Michigan Avenue, Suite 1400 Chicago, Illinois 60611		
Telephone: 312-222-1860		Fax No.: 773-477-6144	
Name (print/type)	JOHN J. OSKOREP	Registration No.: (Attorney/Agent)	41,234
Signature		Date	13 Feb 2002

JOHN J. OSKOREP, ESQ.
ONE MAGNIFICENT MILE CENTER
980 N. MICHIGAN AVENUE, SUITE 1400
CHICAGO, ILLINOIS 60611

voice: 312-222-1860
 fax: 773-477-6144
 email: patents@ameritech.net

FEE TRANSMITTAL

Attorney Docket No.	SJO920010193US1
First Named Inventor:	Dunn
Application Number	not yet assigned
Filing Date:	not yet assigned
Examiner Name:	not yet assigned
Group/Art Unit:	not yet assigned

TOTAL AMOUNT OF PAYMENT:	\$ 1,208.00
METHOD OF PAYMENT (check One)	1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and/or credit any over payment to: Deposit Account No.: 090466 Deposit Account Name: International Business Machines Corporation <input checked="" type="checkbox"/> Charge any Additional Fee Required Under 37 CFR 1.16 and 1.17 2. <input type="checkbox"/> Payment Enclosed: <input type="checkbox"/> Check <input type="checkbox"/> Money Order <input type="checkbox"/> Other

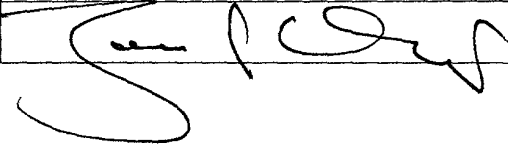
2. UTILITY Basic Filing Fee & Claims

(1) For	(2) No. filed	(3) No. extra	(4) Large Entity	(5) Small Entity	(6) Calculations
Basic Filing Fee	XX	XX	\$ 740.00	\$370.00	\$ 740.00
Total Claims	32 - 20 =	12	X \$ 18.00	X \$ 9.00	\$ 216.00
Independent Claims	6 - 3 =	3	X \$ 84.00	X \$ 42.00	\$ 252.00
Multiple Dependent Claim(s) (if applicable)	0		\$ 280.00	\$140.00	\$ 0.00
Total of above Calculations =					\$ 1,208.00

Basic Filing Fee	Large Entity	Small Entity	Total
Design filing fee	\$ 330.00	\$ 165.00	\$ 0.00
Reissue filing fee	\$ 740.00	\$ 370.00	\$ 0.00
Provisional filing fee	\$ 160.00	\$ 80.00	\$ 0.00
Total of above Calculations =			\$

3. ADDITIONAL FEES

Fee Description	Large Entity	Small Entity	Other
	\$	\$	\$
	\$	\$	\$
	\$	\$	\$
	\$	\$	\$
TOTAL:			\$

Name (print/type)	John J. Oskorep	Registration No.: (Attorney/Agent)	41,234
Signature		Date	13 FEB 2002

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DATE OF DEPOSIT: 13 Feb 2002

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37 CFR 1.10 ON THE DATE INDICATED ABOVE AND IS ADDRESSED
TO THE ASSISTANT COMMISSIONER FOR PATENTS,
WASHINGTON, D.C. 20231.

John J. Oskorp

NAME

[Signature]

SIGNATURE

10075022.01302